SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHI

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	OMB Number:	3235-0287
D	Estimated average burden	
Р	hours per response:	0.5

OMB APPROVAL

0.5

1. Name and Addres Phipps David		son*		Name and Ticker		g Syn	npol			tionship of Reporting F all applicable)	Person(s) to Issue	r
										Director	10% Ov	wner
(Last)	(First)	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 12/14/2022					X	Officer (give title below)	Other below)	(specify
C/O NEXTPLAT	T CORP, 3250 I	MARY ST.,								President/CE0	O of Global Op	s
SUITE 410			4. If Ame	endment, Date of O	riginal Fil	ed (M	onth/Day/Year)		6. Indiv	idual or Joint/Group F	iling (Check Applie	cable Line)
(Street)									X	Form filed by One I		
COCONUT GROVE	FL	33133								Form filed by More	than One Reporti	ng Person
(City)	(State)	(Zip)										
		Table I - No	on-Derivative S	Securities Acq	uired,	Disp	osed of, or	Benefi	cially Ow	rned		
Date		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 au Amount (A) or pr		4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	۱v	Amount	(A) 01 (D)	Price	(insu: 3 and 4)		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Р

28,500(1)

(e.g., puts, cans, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (In 8)				ate	and 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative	9. Number of derivative Securities Beneficially Owned Following Reported	Form: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Common Stock Warrants	\$1.75	12/14/2022		Р		28,500 ⁽¹⁾		12/14/2022	12/14/2025	Common Stock	28,500	(1)	28,500	D	

Explanation of Responses:

Common Stock

1. On December 14, 2022, David Phipps purchased 28,500 units from the Issuer in a private placement for \$1.75 per unit. Each unit consists of one share of common stock and one warrant to purchase one share of common stock of the Issuer. The warrants underlying units are immediately exercisable.

<u>/s</u>	/ David	Phipps	
**	<u>.</u>		

** Signature of Reporting Person

\$49,875

Α

421,788

D

12/20/2022 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

12/14/2022

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.